

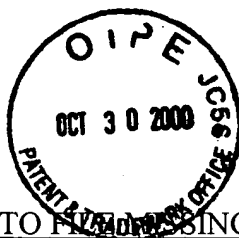
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Philip A. Cooper et al.                      Art Unit : 2161  
Serial No. : 09/641,589                                      Examiner : Unknown  
Filed : August 18, 2000  
Title : GENERATING AND PROVIDING INFORMATION ABOUT EXPECTED  
FUTURE PRICES OF ASSETS

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, D.C. 20231



RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed October 6, 2000 (copy enclosed), applicant as a small entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$355;
- ☒ Payment of the additional/multiple dependent claims fees of \$623;
- ☒ Check in payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ A Verified Statement Claiming Small Entity Status;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☐ A verified English translation of the application and payment of the \$0 fee required under 37 CFR §1.17(k); and
- ☐ Other:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

October 25, 2000  
Date of Deposit  
Signature *Lesley J. Arcidy*  
Lesley J. Arcidy  
Typed or Printed Name of Person Signing Certificate

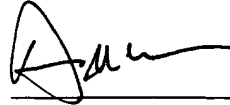
Applicant : Philip A. Cooper et al.  
Serial No. : 09/641,589  
Filed : August 18, 2000  
Page : 2

Attorney's Docket No.: 11910-002001

A check in the amount of \$1,043 is enclosed. It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050, reference 11910-002001.

Respectfully submitted,

Date: 10/25/00



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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/641,589	08/18/2000	Philip A. Cooper	11910-002001

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## FORMALITIES LETTER



\*OC000000005459370\*

Date Mailed: 10/06/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1218.
  - \$126 for 7 total claims over 20.
  - \$1092 for 14 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2038.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/02/2000 JADD01 00000035 09641589  
01 FC:201 355.00 DP  
02 FC:202 560.00 DP  
03 FC:203 63.00 DP  
04 FC:205 65.00 DP